



ATTORNEY GENERAL OF TEXAS
GREG ABBOTT

March 31, 2004

Ms. Shannon G. Marty
Assistant General Counsel
Texas Workers' Compensation Commission
7551 Metro Center Drive, Suite 100
Austin, Texas 78744

OR2004-2555

Dear Ms. Marty:

You ask whether certain information is subject to required public disclosure under chapter 552 of the Government Code. Your request was assigned ID# 198407.

The Texas Workers' Compensation Commission (the "commission") received a request for "all expirations of all policies identified as participating in the Start Program for the year 2004." Although you make no arguments and take no position as to whether the submitted information is excepted from disclosure, pursuant to section 552.305, you have notified Texas Mutual Insurance Company ("Texas Mutual") of the request and of its opportunity to submit comments to this office. *See Gov't Code § 552.305* (permitting interested third party to submit to attorney general reasons why requested information should not be released); Open Records Decision No. 542 (1990) (determining that statutory predecessor to section 552.305 permits governmental body to rely on interested third party to raise and explain applicability of exception to disclosure in certain circumstances). In comments to this office, Texas Mutual contends that the requested information is excepted from disclosure under sections 552.101 and 552.110 of the Government Code.¹ We have considered the claimed exceptions and reviewed the submitted information. We have also considered comments submitted by the requestor. *See Gov't Code § 552.304* (providing for submission of public comments).

¹Although Texas Mutual also raises section 552.305 as a basis for withholding information, this section describes procedures a governmental body must follow when it receives a request for information that implicates the privacy or proprietary rights of a third party and does not function as an exception to disclosure.

Texas Mutual asserts that the requested information is confidential under section 2(d) of article 5.76-3 of the Insurance Code. Section 552.101 of the Government Code excepts from disclosure “information considered to be confidential by law, either constitutional, statutory, or by judicial decision” and encompasses information made confidential by other statutes. Section 2(d) of article 5.76-3 provides:

Except as otherwise provided by this subsection, [Texas Mutual] is subject to the open meetings law, Chapter 551, Government Code, and the open records law, Chapter 552, Government Code. The board may hold closed meetings to consider and refuse to release information relating to claims, rates, the company’s underwriting guidelines, and other information that would give advantage to competitors or bidders.

Texas Mutual has informed this office that the Start program

is the Company’s program for those businesses that do not qualify for the Company’s voluntary market programs. Those accounts are examined each year, and depending on claims experience, compliance with loss prevention suggestions, and other underwriting factors, may be transferred to a voluntary program. The accounts may move between programs from year to year. The information sought by the requestor as to the Start program policyholders this year may in fact be competitive information about policyholders in the voluntary market in another year.

After reviewing Texas Mutual’s arguments and the information at issue, we conclude that Texas Mutual has demonstrated that the release of the requested information would “give advantage to competitors or bidders” for purposes of section 2(d) of article 5.76-3. The commission must therefore withhold the requested information pursuant to section 552.101 of the Government Code. As our ruling on this issue is dispositive, we need not address Texas Mutual’s remaining arguments.

This letter ruling is limited to the particular records at issue in this request and limited to the facts as presented to us; therefore, this ruling must not be relied upon as a previous determination regarding any other records or any other circumstances.

This ruling triggers important deadlines regarding the rights and responsibilities of the governmental body and of the requestor. For example, governmental bodies are prohibited from asking the attorney general to reconsider this ruling. Gov’t Code § 552.301(f). If the governmental body wants to challenge this ruling, the governmental body must appeal by filing suit in Travis County within 30 calendar days. *Id.* § 552.324(b). In order to get the full benefit of such an appeal, the governmental body must file suit within 10 calendar days. *Id.* § 552.353(b)(3), (c). If the governmental body does not appeal this ruling and the governmental body does not comply with it, then both the requestor and the attorney general

have the right to file suit against the governmental body to enforce this ruling. *Id.* § 552.321(a).

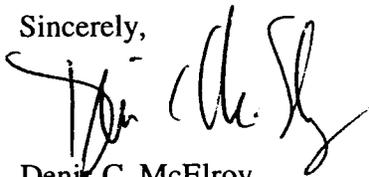
If this ruling requires the governmental body to release all or part of the requested information, the governmental body is responsible for taking the next step. Based on the statute, the attorney general expects that, within 10 calendar days of this ruling, the governmental body will do one of the following three things: 1) release the public records; 2) notify the requestor of the exact day, time, and place that copies of the records will be provided or that the records can be inspected; or 3) notify the requestor of the governmental body's intent to challenge this letter ruling in court. If the governmental body fails to do one of these three things within 10 calendar days of this ruling, then the requestor should report that failure to the attorney general's Open Government Hotline, toll free, at (877) 673-6839. The requestor may also file a complaint with the district or county attorney. *Id.* § 552.3215(e).

If this ruling requires or permits the governmental body to withhold all or some of the requested information, the requestor can appeal that decision by suing the governmental body. *Id.* § 552.321(a); *Texas Dep't of Pub. Safety v. Gilbreath*, 842 S.W.2d 408, 411 (Tex. App.—Austin 1992, no writ).

Please remember that under the Act the release of information triggers certain procedures for costs and charges to the requestor. If records are released in compliance with this ruling, be sure that all charges for the information are at or below the legal amounts. Questions or complaints about over-charging must be directed to Hadassah Schloss at the Texas Building and Procurement Commission at (512) 475-2497.

If the governmental body, the requestor, or any other person has questions or comments about this ruling, they may contact our office. We note that a third party may challenge this ruling by filing suit seeking to withhold information from a requestor. Gov't Code § 552.325. Although there is no statutory deadline for contacting us, the attorney general prefers to receive any comments within 10 calendar days of the date of this ruling.

Sincerely,



Denis C. McElroy
Assistant Attorney General
Open Records Division

DCM/lmt

Ref: ID# 198407

Enc. Submitted documents

c: Mr. David Callarman
David Callarman Insurance
P.O. Box 30
Cisco, Texas 76437
(w/o enclosures)

Ms. Mimi Hastings Shelton
Associate General Counsel
Texas Mutual Insurance Company
221 West Sixth Street, Suite 300
Austin, Texas 78701
(w/o enclosures)