



ATTORNEY GENERAL OF TEXAS
GREG ABBOTT

June 6, 2005

Mr. Marc Allen Connelly
Assistant General Counsel
Texas Department of State Health Services
1100 W. 49th Street
Austin, Texas 78756

OR2005-04917

Dear Mr. Connelly:

You ask whether certain information is subject to required public disclosure under the Public Information Act (the "Act"), chapter 552 of the Government Code. Your request was assigned ID# 225462.

The Texas Department of State Health Services (the "department") received a request for an investigation report of Las Colinas Medical Center. You claim that the requested information is excepted from disclosure under section 552.101 of the Government Code. We have considered the exception you claim and reviewed the submitted information.

Section 552.101 of the Government Code excepts from disclosure "information considered to be confidential by law, either constitutional, statutory, or by judicial decision," and encompasses information made confidential by other statutes. Gov't Code § 552.101. You contend that a portion of the submitted information is confidential pursuant to section 241.051 of the Health and Safety Code. Chapter 241 of the Health and Safety Code governs the licensing of hospitals. Section 241.051 authorizes the department to make any inspection, survey, or investigation that it considers necessary, and provides in pertinent part:

(d) All information and materials obtained or compiled by the [Texas Department of Health¹] in connection with a complaint and investigation concerning a hospital are confidential and not subject to disclosure under Section 552.001 et seq., Government Code, and not subject to disclosure,

¹We note that the Texas Department of Health became part of the Texas Department of State Health Services on September 1, 2004. See <http://www.tdh.state.tx.us>; see also Acts 2003, 78th Leg., R.S., ch. 198, eff. Sept. 1, 2003.

discovery, subpoena, or other means of legal compulsion for their release to anyone other than the department or its employees or agents involved in the enforcement action except that this information may be disclosed to:

- (1) persons involved with the department in the enforcement action against the hospital;
- (2) the hospital that is the subject of the enforcement action, or the hospital's authorized representative;
- (3) appropriate state or federal agencies that are authorized to inspect, survey, or investigate hospital services;
- (4) law enforcement agencies; and
- (5) persons engaged in bona fide research, if all individual-identifying and hospital-identifying information has been deleted.

(e) The following information is subject to disclosure in accordance with Section 552.001 et seq., Government Code:

- (1) a notice of alleged violation against the hospital, which notice shall include the provisions of law which the hospital is alleged to have violated, and a general statement of the nature of the alleged violation;
- (2) the pleadings in the administrative proceeding; and
- (3) a final decision or order by the department.

Health & Safety Code § 241.051(d), (e). You indicate that the department obtained or compiled the documents you have stapled in connection with the investigation of a complaint concerning a hospital. You also state that the stapled information does not contain any information that falls within the exceptions to confidentiality outlined in sections 241.051(d) and (e). Based on your representations and our review, we conclude that the submitted information you have stapled is confidential under section 241.051(d) of the Health and Safety Code and must be withheld under section 552.101 of the Government Code.

The remaining submitted information consists of a CMS Form 2567 Statement of Deficiencies and Plan of Correction. Federal regulations require the department to release completed CMS 2567 forms containing a statement of deficiencies and plan of correction, provided that (1) no information identifying individual patients, physicians, other medical practitioners, or other individuals shall be disclosed, and (2) the provider whose performance is being evaluated has had a reasonable opportunity to review the report and to offer comments. See 42 U.S.C. 1306(e), (f); 42 C.F.R. §§ 401.126, .133; Open Records Decision

No. 487 at 5 (1988); *see also* Health & Safety Code § 142.009(d)(6). Because the signature of the agency representative on the form indicates that the provider has had a reasonable opportunity to review the report and offer comments, the department must withhold the information you have highlighted identifying individual patients, physicians, other medical practitioners, or other individuals from the CMS form 2567 under section 552.101 in conjunction with federal law prior to its release.

In summary, the department must withhold the information you have stapled under section 552.101 in conjunction with section 241.051 of the Health and Safety Code. The department must withhold the identifying information you have marked from the CMS form 2567 under section 552.101 in conjunction with federal law prior to its release.

Finally, you ask this office to issue the department a previous determination regarding the types of information at issue in the instant case. *See* Gov't Code § 552.301(a) (allowing governmental body to withhold information subject to previous determination); Open Records Decision No. 673 (2001). After due consideration, we have decided to grant your request.

With regard to section 241.051(d), this previous determination allows the department to withhold only information and materials obtained or compiled by the department in connection with a complaint and investigation concerning a hospital made under section 241.051 of the Health and Safety Code. *See* Open Records Decision No. 673 at 7 (2001). We note that you may only withhold this type of information when none of the release provisions of section 241.051(d) and (e) apply. In addition, this previous determination is not applicable to information to which the requestor may have a right of access under any other provision of law. *See, e.g.,* Occ. Code § 159.002 *et seq.* (medical records). With regard to the CMS form 2567, the previous determination allows the department to withhold the identifying information of patients, physicians, other medical practitioners, or other individuals contained in the form when the provider whose form is being evaluated has had a reasonable opportunity to review the report and offer comments. *See* 42 U.S.C. § 1306(e), (f); 42 C.F.R. §§ 401.126, .133. So long as the elements of law, fact, and circumstances do not change so as to no longer support the findings set forth above, the department need not ask for a decision from this office again with respect to this type of information. *See* Open Records Decision 673 at 7 (2001).

This ruling triggers important deadlines regarding the rights and responsibilities of the governmental body and of the requestor. For example, governmental bodies are prohibited from asking the attorney general to reconsider this ruling. Gov't Code § 552.301(f). If the governmental body wants to challenge this ruling, the governmental body must appeal by filing suit in Travis County within 30 calendar days. *Id.* § 552.324(b). In order to get the full benefit of such an appeal, the governmental body must file suit within 10 calendar days. *Id.* § 552.353(b)(3), (c). If the governmental body does not appeal this ruling and the governmental body does not comply with it, then both the requestor and the attorney general have the right to file suit against the governmental body to enforce this ruling. *Id.* § 552.321(a).

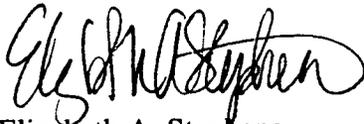
If this ruling requires the governmental body to release all or part of the requested information, the governmental body is responsible for taking the next step. Based on the statute, the attorney general expects that, upon receiving this ruling, the governmental body will either release the public records promptly pursuant to section 552.221(a) of the Government Code or file a lawsuit challenging this ruling pursuant to section 552.324 of the Government Code. If the governmental body fails to do one of these things, then the requestor should report that failure to the attorney general's Open Government Hotline, toll free, at (877) 673-6839. The requestor may also file a complaint with the district or county attorney. *Id.* § 552.3215(e).

If this ruling requires or permits the governmental body to withhold all or some of the requested information, the requestor can appeal that decision by suing the governmental body. *Id.* § 552.321(a); *Tex. Dep't of Pub. Safety v. Gilbreath*, 842 S.W.2d 408, 411 (Tex. App.—Austin 1992, no writ).

Please remember that under the Act the release of information triggers certain procedures for costs and charges to the requestor. If records are released in compliance with this ruling, be sure that all charges for the information are at or below the legal amounts. Questions or complaints about over-charging must be directed to Hadassah Schloss at the Texas Building and Procurement Commission at (512) 475-2497.

If the governmental body, the requestor, or any other person has questions or comments about this ruling, they may contact our office. We note that a third party may challenge this ruling by filing suit seeking to withhold information from a requestor. Gov't Code § 552.325. Although there is no statutory deadline for contacting us, the attorney general prefers to receive any comments within 10 calendar days of the date of this ruling.

Sincerely,



Elizabeth A. Stephens
Assistant Attorney General
Open Records Division

EAS/krl

Ref: ID#225462

Enc. Submitted documents

c: Mr. Brian Jessen
5341 N. Macarthur Blvd. Apt #1134
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(w/o enclosures)