



ATTORNEY GENERAL OF TEXAS
GREG ABBOTT

August 30, 2006

Mr. Robert D. Simpson
Assistant General Counsel
Texas Medical Board
MC-251, P.O. Box 2018
Austin, Texas 78768

OR2006-10086

Dear Mr. Simpson:

You ask whether certain information is subject to required public disclosure under the Public Information Act (the "Act"), chapter 552 of the Government Code. Your request was assigned ID# 259403.

The Texas Medical Board (the "board") received a request for information pertaining to a named physician. You state that the board is providing the requestor with some of the requested information, including a copy of the public verification and physician profile information, as well as information pertaining to any disciplinary action.¹ You claim that the submitted information is excepted from disclosure under section 552.101 of the Government Code. We have considered the exception you claim and reviewed the submitted information.²

¹We note that the Texas Medical Practice Act, subtitle B of title 3 of the Occupations Code, requires the board to make public certain information concerning physicians licensed in this state. See Occ. Code §§ 154.004 (requiring board to make public on request summary of any previous disciplinary board order against specific physician licensed in Texas), .006 (requiring board's compilation of physician profiles in format easily available to the public).

²We assume that the "representative sample" of records submitted to this office is truly representative of the requested records as a whole. See Open Records Decision Nos. 499 (1988), 497 (1988). This open records letter does not reach, and therefore does not authorize the withholding of, any other requested records to the extent that those records contain substantially different types of information than that submitted to this office.

Section 552.101 of the Government Code exempts from disclosure “information considered to be confidential by law, either constitutional, statutory, or by judicial decision.” Gov’t Code § 552.101. This exception encompasses information that another statute makes confidential. Section 164.007(c) of the Occupations Code provides as follows:

(c) Each complaint, adverse report, investigation file, other investigation report, and other investigative information in the possession of or received or gathered by the board or its employees or agents relating to a license holder, an application for license, or a criminal investigation or proceeding is privileged and confidential and is not subject to discovery, subpoena, or other means of legal compulsion for release to anyone other than the board or its employees or agents involved in discipline of a license holder. For purposes of this subsection, investigative information includes information relating to the identity of, and a report made by, a physician performing or supervising compliance monitoring for the board.

Occ. Code § 164.007(c). This section applies to investigatory records gathered by the board during an investigation of a license holder. You state that the submitted records constitute such investigative information pertaining to a licensee. Based on your representations and our review of the submitted information, we agree that the submitted records constitute investigative information possessed by the board relating to a license holder. Accordingly, we find that the submitted information is subject to section 164.007(c) of the Occupations Code.

The requestor asserts, however, that he has a special right of access to the submitted information. The requestor states that he is the named physician’s agent, and has provided the board with a release form signed by the named physician. Section 164.007 of the Occupations Code specifically enumerates the exclusive entities/individuals and circumstances under which investigative information may be released. *See id.* § 164.007(d), (f). Under section 164.007(d), the board is required to provide, at the request of the affected license holder or that individual’s counsel, access to information in the board’s possession that it intends to offer into evidence in presenting its case at a contested hearing of a complaint under section 164.005. *Id.* § 164.007(d). In this instance, the board does not indicate that a formal complaint has been filed in accordance with section 164.005. Therefore, we find that the requestor does not have a right of access to the submitted information under section 164.007(d). We further note that the requestor is not an entity granted access to investigative information under section 164.007(f). *See id.* § 164.007(f) (investigative information relating to discipline of a license holder may be disclosed to certain licensing authorities or medical peer review committees). As such, the submitted information must be withheld under section 552.101 of the Government Code in conjunction with section 164.007(c) of the Occupations Code.

You also ask this office to issue a previous determination that would permit the board to withhold investigatory records gathered by the board during the investigation of a license holder under section 552.101 of the Government Code in conjunction with section 164.007(c) of the Occupations Code. *See* Gov't Code § 552.301(a); Open Records Decision No. 673 (2001). We decline to issue a previous determination at this time. Accordingly, this letter ruling is limited to the particular records at issue in this request and limited to the facts as presented to us; therefore, this ruling must not be relied upon as a previous determination regarding any other records or any other circumstances.

This ruling triggers important deadlines regarding the rights and responsibilities of the governmental body and of the requestor. For example, governmental bodies are prohibited from asking the attorney general to reconsider this ruling. Gov't Code § 552.301(f). If the governmental body wants to challenge this ruling, the governmental body must appeal by filing suit in Travis County within 30 calendar days. *Id.* § 552.324(b). In order to get the full benefit of such an appeal, the governmental body must file suit within 10 calendar days. *Id.* § 552.353(b)(3), (c). If the governmental body does not appeal this ruling and the governmental body does not comply with it, then both the requestor and the attorney general have the right to file suit against the governmental body to enforce this ruling. *Id.* § 552.321(a).

If this ruling requires the governmental body to release all or part of the requested information, the governmental body is responsible for taking the next step. Based on the statute, the attorney general expects that, upon receiving this ruling, the governmental body will either release the public records promptly pursuant to section 552.221(a) of the Government Code or file a lawsuit challenging this ruling pursuant to section 552.324 of the Government Code. If the governmental body fails to do one of these things, then the requestor should report that failure to the attorney general's Open Government Hotline, toll free, at (877) 673-6839. The requestor may also file a complaint with the district or county attorney. *Id.* § 552.3215(e).

If this ruling requires or permits the governmental body to withhold all or some of the requested information, the requestor can appeal that decision by suing the governmental body. *Id.* § 552.321(a); *Texas Dep't of Pub. Safety v. Gilbreath*, 842 S.W.2d 408, 411 (Tex. App.—Austin 1992, no writ).

Please remember that under the Act the release of information triggers certain procedures for costs and charges to the requestor. If records are released in compliance with this ruling, be sure that all charges for the information are at or below the legal amounts. Questions or complaints about over-charging must be directed to Hadassah Schloss at the Office of the Attorney General at (512) 475-2497.

If the governmental body, the requestor, or any other person has questions or comments about this ruling, they may contact our office. Although there is no statutory deadline for

contacting us, the attorney general prefers to receive any comments within 10 calendar days of the date of this ruling.

Sincerely,

A handwritten signature in black ink, appearing to read 'JAP', written in a cursive style.

James A. Person III
Assistant Attorney General
Open Records Division

JAP/dh

Ref: ID# 259403

Enc. Submitted documents

c: Mr. Steven G. Bosnick
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(w/o enclosures)