



ATTORNEY GENERAL OF TEXAS
GREG ABBOTT

June 12, 2007

Mr. C. David Richards, III
Assistant General Counsel
Texas Dept of State Health Services
1100 West 49th Street, M-525
Austin, Texas 78756-3199

OR2007-07401

Dear Mr. Richards:

You ask whether certain information is subject to required public disclosure under chapter 552 of the Government Code, the Public Information Act (the "Act"). Your request was assigned ID# 280677.

The Department of State Health Services (the "department") received a request for all information related to a disciplinary action taken against a named perfusionist. You claim that the requested information is excepted from disclosure under section 552.101 of the Government Code. We have considered the exception you claim and reviewed the submitted information.

Section 552.101 excepts from disclosure "information considered to be confidential by law, either constitutional, statutory, or by judicial decision." Gov't Code § 552.101. This section encompasses confidentiality provisions such as section 603.2041 of the Occupations Code, which provides in pertinent part as follows:

(h) All information and materials subpoenaed or compiled by the [Department of State Health Services] in connection with a complaint and investigation are confidential and not subject to disclosure under Chapter 552, Government Code, and not subject to disclosure, discovery, subpoena, or other means of legal compulsion for their release to anyone other than the department or its agents or employees involved in discipline of the holder of a license, except that this information may be disclosed to:

- (1) persons involved with the department in a disciplinary action against the holder of a license;
- (2) professional perfusionist licensing or disciplinary boards in other jurisdictions;
- (3) peer assistance programs approved by the department under Chapter 467, Health and Safety Code;
- (4) law enforcement agencies; and
- (5) persons engaged in bona fide research, if all individual-identifying information has been deleted.

(i) The filing of formal charges by the department against a holder of a license, the nature of those charges, disciplinary proceedings of the department, and final disciplinary actions, including warnings and reprimands, by the department are not confidential and are subject to disclosure in accordance with [the Act].

Occ. Code § 603.2041(h), (i). You indicate, and the documents at issue reflect, that the submitted information was compiled by the department in connection with the department's investigation of the named perfusionist. You further state that the department believes that none of the exceptions to confidentiality under section 603.2041(h) are applicable in this instance. On the basis of your representations and our review of the submitted information, we agree that the submitted information is made confidential in its entirety pursuant to section 603.2041(h) of the Occupations Code. The department must therefore withhold the submitted information pursuant to section 552.101 of the Government Code. However, we note that the named perfusionist was officially reprimanded by the department. Accordingly, information concerning the filing of any formal charges against this person by the department, the nature of those charges, the disciplinary proceedings of the department, and any final disciplinary actions, including reprimands, are not confidential and must be released to the requestor. *See id.* § 603.2041(i).

This letter ruling is limited to the particular records at issue in this request and limited to the facts as presented to us; therefore, this ruling must not be relied upon as a previous determination regarding any other records or any other circumstances.

This ruling triggers important deadlines regarding the rights and responsibilities of the governmental body and of the requestor. For example, governmental bodies are prohibited from asking the attorney general to reconsider this ruling. Gov't Code § 552.301(f). If the governmental body wants to challenge this ruling, the governmental body must appeal by filing suit in Travis County within 30 calendar days. *Id.* § 552.324(b). In order to get the full

benefit of such an appeal, the governmental body must file suit within 10 calendar days. *Id.* § 552.353(b)(3), (c). If the governmental body does not appeal this ruling and the governmental body does not comply with it, then both the requestor and the attorney general have the right to file suit against the governmental body to enforce this ruling. *Id.* § 552.321(a).

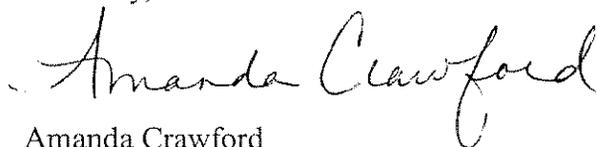
If this ruling requires the governmental body to release all or part of the requested information, the governmental body is responsible for taking the next step. Based on the statute, the attorney general expects that, upon receiving this ruling, the governmental body will either release the public records promptly pursuant to section 552.221(a) of the Government Code or file a lawsuit challenging this ruling pursuant to section 552.324 of the Government Code. If the governmental body fails to do one of these things, then the requestor should report that failure to the attorney general's Open Government Hotline, toll free, at (877) 673-6839. The requestor may also file a complaint with the district or county attorney. *Id.* § 552.3215(e).

If this ruling requires or permits the governmental body to withhold all or some of the requested information, the requestor can appeal that decision by suing the governmental body. *Id.* § 552.321(a); *Texas Dep't of Pub. Safety v. Gilbreath*, 842 S.W.2d 408, 411 (Tex. App.—Austin 1992, no writ).

Please remember that under the Act the release of information triggers certain procedures for costs and charges to the requestor. If records are released in compliance with this ruling, be sure that all charges for the information are at or below the legal amounts. Questions or complaints about over-charging must be directed to Hadassah Schloss at the Office of the Attorney General at (512) 475-2497.

If the governmental body, the requestor, or any other person has questions or comments about this ruling, they may contact our office. Although there is no statutory deadline for contacting us, the attorney general prefers to receive any comments within 10 calendar days of the date of this ruling.

Sincerely,

A handwritten signature in cursive script that reads "Amanda Crawford". The signature is written in black ink and is positioned above the typed name and title.

Amanda Crawford
Assistant Attorney General
Open Records Division

AEC/sdk

Ref: ID# 280677

Enc. Submitted documents

c: Mr. Daniel Pickett
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(w/o enclosures)