



KEN PAXTON  
ATTORNEY GENERAL OF TEXAS

May 10, 2016

Ms. Vanessa A. Gonzalez  
Counsel for Baylor University  
Bickerstaff Heath Delgado Acosta, L.L.P.  
Building One, Suite 300  
3711 South MoPac Expressway  
Austin, Texas 78746

OR2016-10565

Dear Ms. Gonzalez:

You ask whether certain information is subject to required public disclosure under the Public Information Act (the "Act"), chapter 552 of the Government Code. Your request was assigned ID# 609391.

The Baylor University Police Department (the "department"), which you represent, received a request for records of sexual assault cases that resulted in convictions for a specified time period and basic information for all other sexual assault cases for a specified time period, excluding identifying information of complainants, suspects, students, and witnesses. The department states it will release some information. The department claims some of the requested information is not subject to the Act. Additionally, the department claims the submitted information is excepted from disclosure under section 552.108 of the Government Code. We have considered your arguments and reviewed the submitted information. We have also received and considered comments on behalf of the requestor. *See* Gov't Code § 552.304 (permitting interested third party to submit to attorney general reasons why requested information should or should not be released).

Initially, we note, in her request for information, the requestor specifically states the department may redact identifying information of students, suspects, victims, and witnesses. Therefore, such information is not responsive to the present request for information. This ruling does not address the public availability of any information that is not responsive to the request, and the department need not release such information in response to this request.

We note the 84th Legislature added section 51.212(f) of the Education Code, which reads as follows:

(f) A campus police department of a private institution of higher education is a law enforcement agency and a governmental body for purposes of [the Act], only with respect to information relating solely to law enforcement activities.

Educ. Code § 51.212(f). We understand the department is a campus police department of a private institution of higher education. *See id.* §§ 51.212(e), 61.003. Thus, the department is a governmental body for purposes of the Act, and information maintained by the department is subject to disclosure under the Act, to the extent such information relates solely to law enforcement activities. The department states, and we agree, some of the requested information, including the submitted information, was the subject of previous requests for information, in response to which this office issued Open Records Letter Nos. 2015-24446 (2015) and 2016-02966 (2016). In its request for a ruling from this office in Open Records Letter No. 2015-24446, the department submitted a number of offense reports in their entirety, with the exception of certain redactions. In Open Records Letter Nos. 2015-24446 and 2016-02966, we considered whether the requested information at issue was excepted from disclosure under certain exceptions in the Act and, therefore, considered the information at issue subject to the Act as information related solely to law enforcement activities. The department states the previously requested police offense reports were created and are maintained by the department for law enforcement purposes. However, the department now asserts some of the previously requested offense reports do not relate solely to law enforcement activities because the reports are also maintained in duplicate by Baylor University's Judicial Affairs Office or Baylor's Title IX Office (collectively, the "offices"), and, thus, are not subject to release under the Act pursuant to section 51.212(f). Nevertheless, the previously requested offense reports involve investigations by the department of possible criminal violations. *See* Penal Code §§ 22.011, .021 (sexual assault and aggravated sexual assault). Therefore, we find these previously requested reports relate "solely to law enforcement activities" for purposes of section 51.212(f) of the Education Code, and thus, are subject to the Act.

As previously noted, in its request for a ruling from this office in Open Records Letter No. 2015-24446 for the previously requested information, the department submitted a number of offense reports in their entirety, with the exception of certain student-identifying information it redacted under the Family Educational Rights and Privacy Act ("FERPA"), section 1232g of title 20 of the United States Code. In Open Records Letter Nos. 2015-24446 and 2016-02966, we determined, in part, FERPA was not applicable to the information at issue because it consisted of law enforcement records that were maintained and created by the department for a law enforcement purpose. The department now indicates it is withholding some of the previously requested reports in their entirety pursuant to FERPA, and some of the reports the department previously submitted were not provided to

this office in this instance. *See* Gov't Code § 552.026 (incorporating FERPA into the Act); *see also id.* § 552.114 (excepting from disclosure "student records"); Open Records Decision No. 539 (1990) (determining same analysis applies under section 552.114 of Government Code and FERPA). The department states the requested reports at issue were created and are maintained by the department for a law enforcement purpose. As previously discussed in Open Records Letter Nos. 2015-24446 and 2016-09666, although the United States Department of Education Family Policy Compliance Office has informed this office FERPA does not permit state and local educational authorities to disclose to this office, without parental consent, unredacted, personally identifiable information contained in education records for the purpose of our review in the open records ruling process under the Act, FERPA is not applicable to records that were created by a law enforcement unit of an educational agency or institution for a law enforcement purpose and that are maintained by the law enforcement unit. *See* 20 U.S.C. § 1232g(a)(4)(B)(ii); 34 C.F.R. §§ 99.3, .8. The department informs us some of the previously requested information is also maintained by the offices, components of an educational agency. Thus, the department contends this information is subject to FERPA because it is not maintained exclusively by the department. However, these offense reports are maintained separate and apart from the records of the offices. Further, the previous requests and the current request for information were made to the department, and the requestors sought law enforcement records created and maintained by the department for a law enforcement purpose, rather than student records maintained by the offices.<sup>1</sup> Accordingly, the previously requested information is not encompassed by FERPA and none of it may be withheld on that basis.

Nevertheless, in Open Records Letter No. 2015-24446, we determined the department: (1) with the exception of basic information, which must be released, may withhold certain information under section 552.108(a)(1) of the Government Code and certain information under section 552.108(a)(2) of the Government Code; (2) must withhold certain information under section 552.101 of the Government Code in conjunction with common-law privacy; and (3) must release the remaining requested information. Further, in Open Records Letter No. 2016-02966, we determined the department: (1) must continue to rely on Open Records Letter No. 2015-24446 as a previous determination and withhold or release the requested sexual-assault cases in accordance with that ruling; (2) with the exception of basic information, which the department must release, may withhold certain information under section 552.108(a)(2) of the Government Code; and (3) to the extent any other responsive information pertaining to a certain type of crime existed when the department received the request for information, must release this information to the requestor in accordance with section 552.302 of the Government Code. We have no indication the law, facts, or circumstances on which the prior rulings were based have changed. Accordingly, the department must continue to rely on Open Records Letter Nos. 2015-24446 and 2016-02966 as previous determinations and withhold or release the previously requested information in

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<sup>1</sup>We note this ruling does not address the public availability of any records maintained by the offices, and the offices are not required to release any information in response to this request.

accordance with those rulings. *See* Open Records Decision No. 673 (2001) (so long as law, facts, and circumstances on which prior ruling was based have not changed, first type of previous determination exists where requested information is precisely same information as was addressed in prior attorney general ruling, ruling is addressed to same governmental body, and ruling concludes that information is or is not excepted from disclosure).

Next, to the extent it exists, we address the remaining requested information that was not previously requested and is not subject to the previous rulings. The department indicates it is withholding such information in its entirety pursuant to FERPA. *See* Gov't Code § 552.026; *see also id.* § 552.114; ORD 539. As previously noted, FERPA does not permit state and local educational authorities to disclose to this office, without parental consent, unredacted, personally identifiable information contained in education records for the purpose of our review in the open records ruling process under the Act.<sup>2</sup> Such determinations under FERPA must be made by the educational authority in possession of the education records. Consequently, state and local educational authorities that receive a request for education records from a member of the public under the Act must not submit education records to this office in unredacted form, that is, in a form in which “personally identifiable information” is disclosed. *See* 34 C.F.R. § 99.3 (defining “personally identifiable information”). The department asserts the remaining requested information is subject to FERPA and has not submitted this information to our office for review. Because the department has not submitted this information to our office for review to determine if this information consists of a law enforcement record to which FERPA does not apply, we must rely on the department’s assertion this information is subject to FERPA.<sup>3</sup> Questions regarding the applicability of FERPA should be directed to the United States Department of Education Family Policy Compliance Office at (202) 260-3887.

This letter ruling is limited to the particular information at issue in this request and limited to the facts as presented to us; therefore, this ruling must not be relied upon as a previous determination regarding any other information or any other circumstances.

This ruling triggers important deadlines regarding the rights and responsibilities of the governmental body and of the requestor. For more information concerning those rights and responsibilities, please visit our website at [http://www.texasattorneygeneral.gov/open/orl\\_ruling\\_info.shtml](http://www.texasattorneygeneral.gov/open/orl_ruling_info.shtml), or call the Office of the Attorney General’s Open Government Hotline, toll free, at (877) 673-6839. Questions concerning the allowable charges for

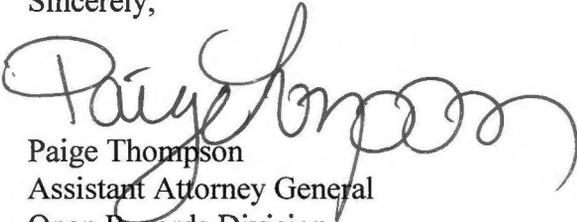
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<sup>2</sup>A copy of this letter may be found on the Office of the Attorney General’s website: <https://www.texasattorneygeneral.gov/files/og/20060725usdoe.pdf>.

<sup>3</sup>As previously noted, FERPA is not applicable to records that were created by a law enforcement unit of an educational agency or institution for a law enforcement purpose and that are maintained by the law enforcement unit. *See* 20 U.S.C. § 1232g(a)(4)(B)(ii); 34 C.F.R. §§ 99.3, .8.

providing public information under the Act may be directed to the Office of the Attorney General, toll free, at (888) 672-6787.

Sincerely,



Paige Thompson  
Assistant Attorney General  
Open Records Division

PT/dls

Ref: ID# 609391

Enc. Submitted documents

c: Requestor  
(w/o enclosures)